



<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/972,112	
	Filing Date	10/04/2001	
	First Named Inventor	Dorros	
	Art Unit	3731	
	Examiner Name	Nguyen, V.	
Total Number of Pages in This Submission	21	Attorney Docket Number	AMS-011C

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input checked="" type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
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<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Nicola A. Pisano, Registration No. 34,408 Luce, Forward, Hamilton & Scripps LLP
Signature	
Date	June 28, 2004

CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.		
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		6-29-04

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<b>FEE TRANSMITTAL</b> <b>for FY 2004</b> <i>Effective 10/01/2003. Patent fees are subject to annual revision.</i>		<b>Complete if Known</b>	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Application Number	09/972,112
<b>TOTAL AMOUNT OF PAYMENT</b> \$ 995.00		Filing Date	10/04/2001
		First Named Inventor	Dorros
		Examiner Name	Nguyen, Vi X
		Art Unit	3731
		Attorney Docket Number	AMS-011C

<b>METHOD OF PAYMENT</b> (check all that apply)		<b>FEE CALCULATION</b> (continued)																																														
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None		<b>3. ADDITIONAL FEES</b>																																														
<input checked="" type="checkbox"/> Deposit Account: Deposit Account Number: 50-2298 Deposit Account Name: Luce, Forward, Hamilton & Scripps LLP																																																
The Director is authorized to: (check all that apply) <input type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input checked="" type="checkbox"/> Charge any additional fee(s) or any underpayment of fee(s) <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.																																																
<b>FEE CALCULATION</b>																																																
<b>1. BASIC FILING FEE</b>																																																
<table border="1"><thead><tr><th>Large Entity</th><th>Small Entity</th><th>Fee Description</th><th>Fee Paid</th></tr><tr><th>Fee Code</th><th>Fee (\$)</th><th>Fee Code</th><th>Fee (\$)</th></tr></thead><tbody><tr><td>1001</td><td>770</td><td>2001</td><td>385</td><td>Utility filing fee</td><td></td></tr><tr><td>1002</td><td>340</td><td>2002</td><td>170</td><td>Design filing fee</td><td></td></tr><tr><td>1003</td><td>530</td><td>2003</td><td>265</td><td>Plant filing fee</td><td></td></tr><tr><td>1004</td><td>770</td><td>2004</td><td>385</td><td>Reissue filing fee</td><td></td></tr><tr><td>1005</td><td>160</td><td>2005</td><td>80</td><td>Provisional filing fee</td><td></td></tr><tr><td colspan="5"><b>SUBTOTAL (1)</b> (\$)</td></tr></tbody></table>		Large Entity	Small Entity	Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	1001	770	2001	385	Utility filing fee		1002	340	2002	170	Design filing fee		1003	530	2003	265	Plant filing fee		1004	770	2004	385	Reissue filing fee		1005	160	2005	80	Provisional filing fee		<b>SUBTOTAL (1)</b> (\$)								
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Independent Claims: -3** = 0 x Fee from below =																																																
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**or number previously paid, if greater; For Reissues, see above																																																
		<b>Other fee (specify)</b> Additional Claim Fees <b>RECEIVED</b> 135.00																																														
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		<b>SUBTOTAL (3)</b> (\$) 995.00																																														

<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>	
Name (Print/Type)	Nicola A. Pisano	Registration No. (Attorney/Agent)	34,408
Signature		Telephone	858-720-6320
		Date	June 28, 2004

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Case Docket No.: AMS-011C

In re application of: Dorros et al.

Serial No.: 09/972,112

Filed: 10/04/2001

Examiner: Nguyen, V.

For: **APPARATUS AND METHOD FOR TREATING STROKE AND CONTROLLING CEREBRAL FLOW CHARACTERISTICS**

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**CLAIMS AS AMENDED**

(1)	(2)	(3)	(4)	(5)	(6)	(7)
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE
TOTAL CLAIMS	35	minus	20	15	x \$9	\$ 135.00
INDEPENDANT CLAIMS	2	minus	4	0	x \$43	\$ 0.00
MULTIPLE DEPENDANT CLAIMS	<input type="checkbox"/>				\$ 280	\$ 0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT						\$ 135.00

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- ☐ Charge \$ .00 to Deposit Account No. 50-2298. Two copies of this sheet are enclosed.
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